



25th January 2022

## DEEPENING THE UCITS PARTNERSHIP BETWEEN MARSHALL WACE AND LUMYNA

The cross-border merger of the Marshall Wace UCITS Funds PLC sub-funds with the corresponding sub-funds of the newly established Lumyna-Marshall Wace UCITS SICAV (the “**new SICAV**”) took place on 21 January 2022. Marshall Wace LLP (“**Marshall Wace**”) and Lumyna Investments Ltd (“**Lumyna**”) are now delighted to confirm the completion of the merger of all commingled UCITS funds managed by Marshall Wace into the new SICAV, based in Luxembourg.

### Housekeeping matters

During the course of this week, Citco Fund Services (Luxembourg) S.A. (“**Citco**”) will issue two contract notes combined in a single PDF, to all shareholders of the sub-funds of the new SICAV. This PDF will evidence the migration of your holdings into the new SICAV and you are not required to take any action. Investors should note that the opening NAVs of the new sub-funds will mirror the closing NAVs of the merging sub-funds, and performance fees have not crystallised on the merger date.

Citco will continue to contact shareholders where appropriate in order to obtain required AML customer due diligence in line with Citco’s requirements and Luxembourg AML laws. Please do respond to any such request in a timely manner to ensure a seamless provision of services to you.

### Fund information

Going forward, the Marshall Wace investor portal will not report on the sub-funds of the new SICAV. Existing users of the Marshall Wace investor portal should now navigate to the Lumyna investor portal, which can be accessed at [www.lumyna.com](http://www.lumyna.com). All reporting produced in relation to the sub-funds will now be distributed by Lumyna rather than Marshall Wace.

### Relevant contact details

The administrator and transfer agent of Lumyna-Marshall Wace UCITS SICAV is Citco Fund Services (Luxembourg) S.A.. Clients may continue contacting the dedicated investor relations team at Citco (tel: +353 1636 7330, email: [marshallwaceir@citco.com](mailto:marshallwaceir@citco.com)).

Should you have any questions, please reach out to your usual contact at Lumyna or Marshall Wace. Alternatively, please email [info@lumyna.com](mailto:info@lumyna.com) or [clientservicing@mwam.com](mailto:clientservicing@mwam.com).

We would like to take this opportunity to thank you for your ongoing support. The completion of the merger will support the long-term stability and growth of Marshall Wace’s UCITS offering.

Yours Sincerely,

A handwritten signature in black ink, appearing to be "AC".

Anthony Clake, Marshall Wace

A handwritten signature in black ink, appearing to be "PH".

Paul Holmes, Lumyna